

# The scale of SUSY breaking in models of inflation driven by the volume modulus

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# Outline

- Motivations
- Constraints for the Kähler potential
- Model building
- Conclusions

## KKLT moduli stabilization

F-term potential in 4D SUGRA:

$$V = e^K \left( K^{I\bar{J}} D_I W \overline{D_{\bar{J}} W} - 3 |W|^2 \right)$$

Kähler potential for [the volume modulus](#):

$$K = -3 \ln(T + \bar{T})$$

For fixed dilaton and CSM [fluxes](#) contribute constant term to the superpotential:

$$W = A$$

Introducing [non-perturbative](#) correction (e.g. gaugino condensation) to the superpotential:

$$W = A + C e^{-cT}$$

volume modulus can be stabilized at AdS SUSY minimum.

We live in dS space  $\Rightarrow$   $\overline{D3}$ -branes introduced to [uplift](#) minimum to dS space:

$$\Delta V = \frac{E}{(T + \bar{T})^2}$$

## Hubble scale vs SUSY breaking scale

KKLT stabilization allow for constructing models of inflation within string theory e.g. racetrack inflation with 2 non-perturbative terms in the superpotential:

$$W = A + Ce^{-cT} + De^{-dT}$$

In generic inflationary model based on KKLT moduli stabilization **Hubble scale** during inflation is related to **the gravitino mass (Kallosh, Linde '04)**:

$$H \lesssim m_{3/2}$$

If we insist on a low energy SUSY breaking, the relation  $H \lesssim m_{3/2}$  forces us to construct low scale inflationary models ( $H \sim \mathcal{O}(1\text{TeV})$ )  $\Rightarrow$  very hard to find such models (no moduli inflation model of this type constructed so far)

Large  $m_{3/2}$  originates from a deep SUSY AdS minimum before uplifting  $\Rightarrow$  for the **SUSY Minkowski minimum** ( $m_{3/2} = 0$ ) there is no relation between  $H$  and  $m_{3/2}$

SUSY "almost"-Minkowski minimum + small uplifting  $\Rightarrow m_{3/2} \ll H$

## Constraints on Kähler potential

Slow-roll inflation requires slow-roll parameter  $|\eta| \ll 1$

The maximal value of  $\eta_{\max}$  is related to the curvature of the Kähler manifold spanned by the scalar fields appearing in the theory. (MB, Olechowski '08; Covi et al. '08)

The **necessary** condition for  $|\eta| \ll 1$  (i.e.  $\eta_{\max} \gtrsim 0$ ):

$$R(f^i) < \frac{2}{\widehat{G}^2} < \frac{2}{3}$$

where  $G = K + \log |W|^2$  and  $\widehat{G}^2 \equiv \sqrt{G^i G_i} = 3 + e^{-G} V$

$R(f^i) \equiv R_{i\bar{j}p\bar{q}} f^i f^{\bar{j}} f^p f^{\bar{q}}$  is the sectional curvature along the direction of the SUSY breaking ( $f_i \equiv G_i / \widehat{G}^2$  is the unit vector defining that direction).

Note:  $\widehat{G}^2 = 3$  for Minkowski,  $\widehat{G}^2 > 3$  for de Sitter.

The above condition can be used to eliminate some models even without specifying the superpotential!

## Volume modulus as the inflaton

Kähler potential for the volume modulus:

$$K = -3 \ln(T + \bar{T})$$

The curvature scalar takes the form:

$$R_T = \frac{2}{3}$$

The trace of the  $\eta$ -matrix is constant and negative:

$$\eta_t^t + \eta_\tau^\tau = -\frac{4}{3}$$

where  $t = \text{Re}T$  and  $\tau = \text{Im}T$ .

$\eta_{\text{max}} = -2/3 \Rightarrow$  **No inflation for any superpotential**  $\Rightarrow$  corrections to Kähler potential required

## Corrections to Kähler potential

The necessary condition for the positivity of the  $\eta$ -matrix trace:

$$R_T < 2/3$$

Sufficient condition:

$$R_T \leq 0$$

We consider Kähler potential with leading  $\alpha'$ -correction and string loop correction:

$$K = -3 \ln(T + \bar{T}) - \frac{\tilde{\xi}_{\alpha'}}{(T + \bar{T})^{3/2}} - \frac{\tilde{\xi}_{\text{loop}}}{(T + \bar{T})^2}$$

Curvature scalar for this setup reads:

$$R_T = \frac{2}{3} - \frac{35}{48} \frac{\tilde{\xi}_{\alpha'}}{(T + \bar{T})^{3/2}} - \frac{8}{3} \frac{\tilde{\xi}_{\text{loop}}}{(T + \bar{T})^2} + \dots$$

Relatively small corrections could make trace of the  $\eta$ -matrix positive.

## No inflation in Kallosh-Linde model

The superpotential in KL model reads:

$$W = A + Ce^{-cT} + De^{-dT}$$

SUSY Minkowski minimum exists for fine-tuned value of  $A$ :

$$A = -C \left| \frac{cC}{dD} \right|^{\frac{c}{d-c}} - D \left| \frac{cC}{dD} \right|^{\frac{d}{d-c}}$$

SUSY Minkowski minimum occurs at:

$$T_{\text{mink}} = t_{\text{mink}} = \frac{1}{c-d} \ln \left| \frac{cC}{dD} \right|, \quad \tau_{\text{mink}} = 0$$

Inflation ending in SUSY Minkowski minimum cannot be realized in KL model even with the corrections to Kähler potential. (MB, Olechowski '08)



## Triple gaugino condensation model

The superpotential reads:

$$W = A + Be^{-bT} + Ce^{-cT} + De^{-dT}$$

Kähler potential with leading corrections:

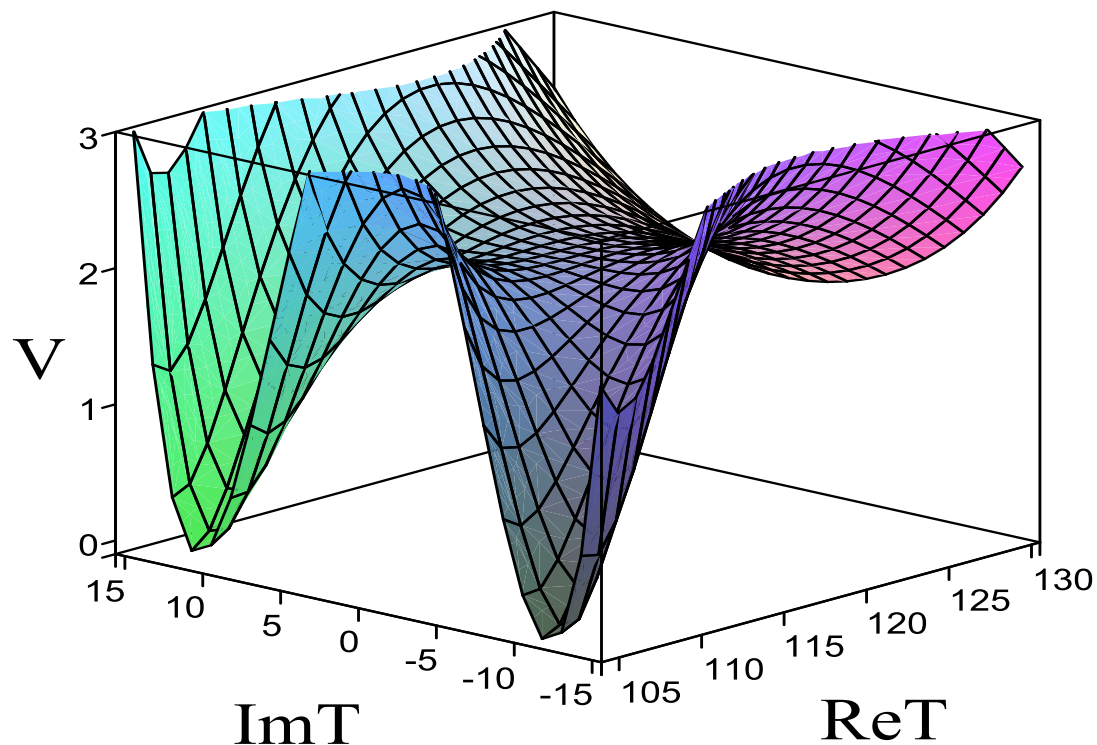
$$K = -3 \ln(T + \bar{T}) - \frac{\tilde{\xi}_{\alpha'}}{(T + \bar{T})^{3/2}} - \frac{\tilde{\xi}_{\text{loop}}}{(T + \bar{T})^2}$$

SUSY Minkowski conditions ( $\partial_T W = W = 0$ ) cannot be solved analytically.

Solution is not unique. There are 2 types of solutions:

- $\tau_{\text{mink}} = 0$  and  $A$  real  $\rightarrow$  structure of the potential as in KL model  $\rightarrow$  no inflation
- $\tau_{\text{mink}} \neq 0$  and  $A$  complex  $\rightarrow$  inflation can be realized only if  $B$  ( $C$  or  $D$ ) complex

# Inflationary potential



AdS minimum:	$t_{\text{AdS}} = 104.646$ ,	$\tau_{\text{AdS}} = -11.664$
SUSY Minkowski minimum:	$t_{\text{mink}} = 104.473$ ,	$\tau_{\text{mink}} = 10.885$
Inflationary saddle point:	$t_{\text{saddle}} = 115.475$ ,	$\tau_{\text{saddle}} = -0.183$

## Fine-tuning

Triple gaugino condensation model is the first one that accommodates TeV-range gravitino mass and high scale of inflation but requires significant amount of fine-tuning:

Two parameters fine-tuned to (almost) cancel diagonal and off-diagonal entry of the  $\eta$ -matrix  $\Rightarrow$  one more fine-tuning than in typical models (e.g. racetrack inflation)

Is this additional tuning necessary in models with light gravitino?

NO, if parameters of  $W$  are real and  $\tau = 0$  during inflation  $\Rightarrow$  off-diagonal entry of the  $\eta$ -matrix vanishes automatically and one tuning is enough

Is it possible to construct such models?

## Inflection point inflation

Inflation in the  $t$ -direction ( $\tau = 0$ ) can occur in the vicinity of the inflection point.

Naturally realized with **positive exponents** in gaugino condensation terms.

Positive exponents may occur when gauge kinetic function takes the form:

$$f = w_S S + w_T T .$$

Gaugino condensation generates:

$$W_{\text{np}} = B e^{-\frac{2\pi}{N}(w_S S + w_T T)} .$$

When  $w_T < 0$  and dilaton  $S$  is stabilized at higher scales, positive exponents appear in effective theory for the volume modulus:

$$W_{\text{np}}^{\text{eff}} = B^{\text{eff}} e^{bT} .$$

Gauge kinetic functions of this type realized in string theory

(**Marchesano, Shiu '04; Cascales, Uranga '03; Lukas, Ovrut, Waldram '97**)

# Model building with positive exponents

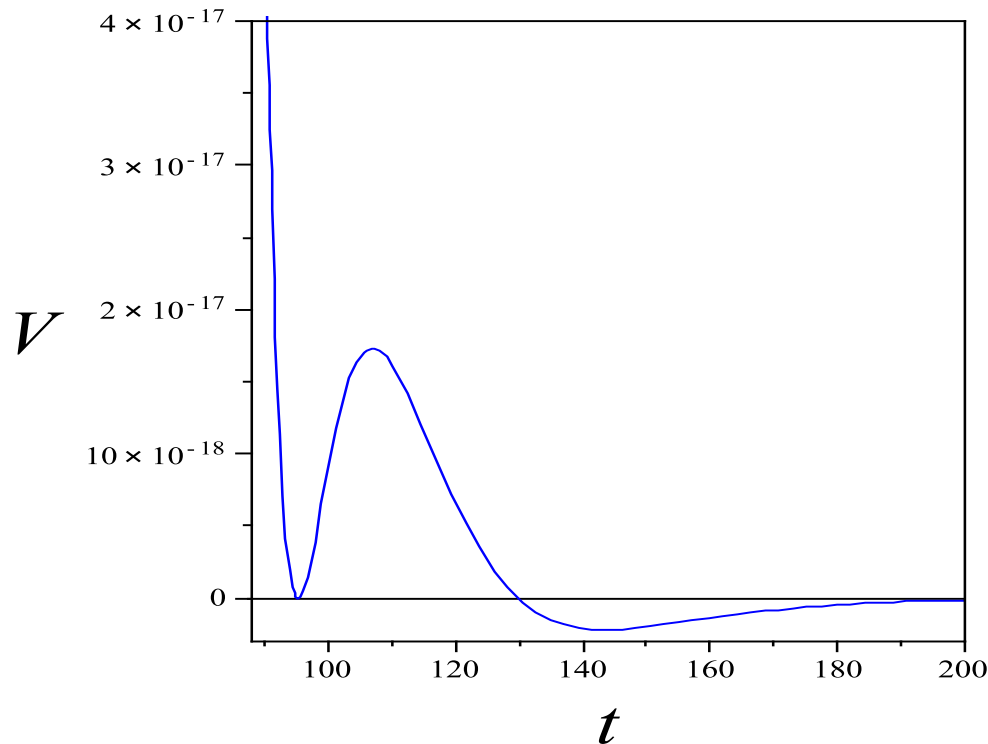
We consider superpotential with 2 gaugino condensates:

$$W = A + Ce^{cT} + De^{dT}.$$

$c < 0, d < 0$  (KL model)

**SUSY** AdS minimum

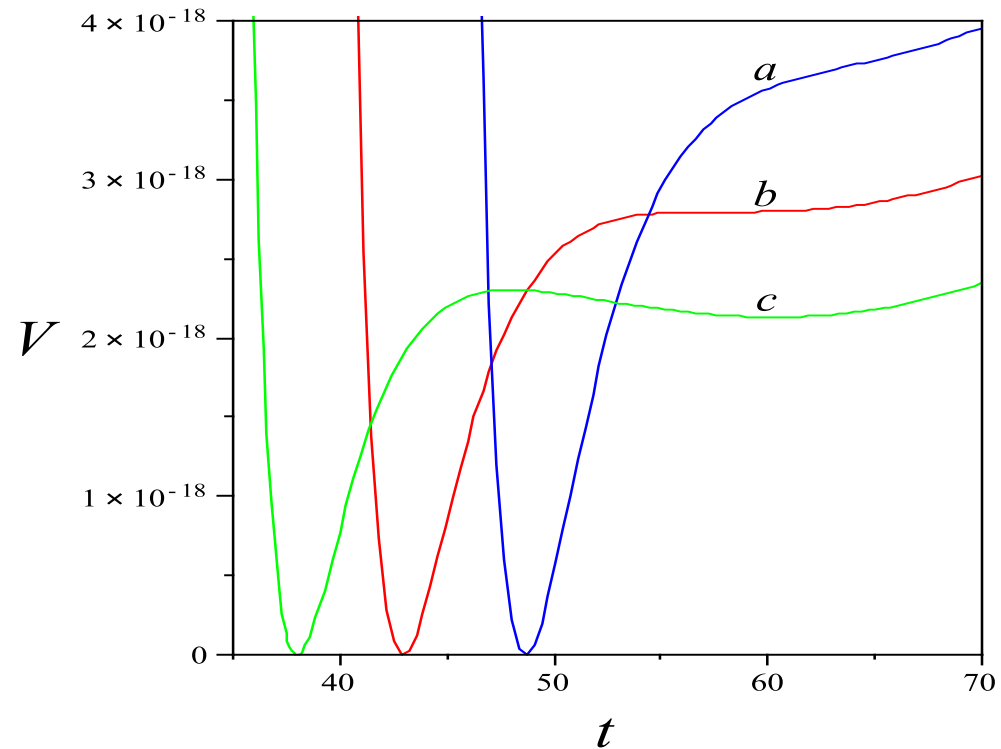
no inflation with light gravitino



$c < 0, d > 0$  and  $c > 0, d > 0$

**nonSUSY** AdS/dS minimum

inflection point inflation



## What is the price for the working model?

Fine-tuning of one parameter (e.g.  $C$ ) at the level of  $10^{-5}$  (similar to racetrack inflation).

Stabilization of the  $\tau$ -direction through string corrections to tree-level Kähler potential.

Fine-tuning of the initial conditions for  $t$  at the level of one percent (comparable to other models of small-field inflation).

TeV-range gravitino mass requires:

Fine-tuning of  $A$  at the level of  $10^{-5}$ .

## Threshold corrections to gauge kinetic function

We consider superpotential with 1 gaugino condensate and threshold corrections:

$$W = A + (C_0 + C_1 T)e^{cT}.$$

SUSY Minkowski minimum exists for fine-tuned value of  $A$ :

$$A = \frac{C_1}{c} \exp\left(-\frac{cC_0}{C_1} - 1\right).$$

SUSY Minkowski minimum occurs at:

$$T_{\text{Mink}} = -\frac{1}{c} - \frac{C_0}{C_1}.$$

For **positive**  $c$  inflection point inflation with light gravitino can be realized

The same conditions for successful inflation as in double gaugino condensation model

Very modest model  $\rightarrow$  only 4 parameters in  $W$

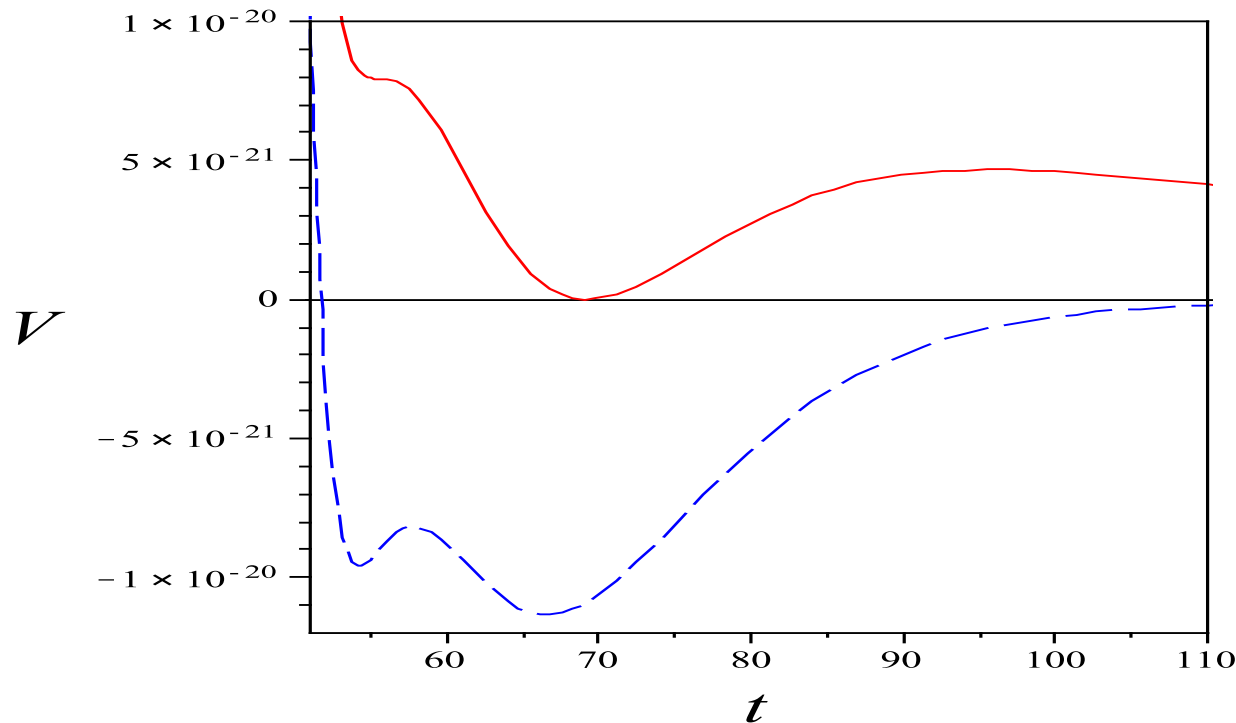
$\rightarrow$  1 parameter in  $K$  (to stabilize the  $\tau$  direction)

# Negative exponents and inflation with heavy gravitino

Without positive exponents inflation possible only with uplifting (heavy gravitino)

$$\Delta V = \frac{E}{t^2}.$$

Examples: → KL model (Linde, Westphal '07)  
→ Single gaugino condensation with threshold corrections





## Fine-tuning and the overshooting problem

Fine-tuning of the potential and the initial conditions is inevitably related to the height of the barrier that protects the inflaton from overshooting Minkowski vacuum.

Uplifting is decreasing function of  $t \Rightarrow$  maximum (before uplifting) necessarily much below 0 if the barrier is to be high (after uplifting)

Fine-tuning of the parameters in models with negative exponents ([heavy gravitino](#)) at least  $10^{-8} \Rightarrow$  substantially bigger than in models with positive exponents ([light gravitino](#))

# Supersymmetric uplifting from matter field

In models with negative exponents non-supersymmetric uplifting can be substituted by SUSY breaking matter field sector (MB, Olechowski, in preparation):

$$W_{\text{matter}} = c_0 + \mu^2 \Phi \quad K_{\text{matter}} = |\Phi|^2 - \frac{|\Phi|^4}{\Lambda^2}$$

- $\Lambda \rightarrow \infty$  - Polonyi model

$m_\Phi \sim m_T \Rightarrow$  the inflaton is a mixture of  $T$  and  $\Phi$

**Advantage:** fine-tuning no longer related to the height of the barrier

- $\Lambda \ll 1$  - O'KKLT model

$m_\Phi \sim \Lambda^{-1} \Rightarrow m_\Phi \gg m_T$  - matter field decoupled from the inflationary dynamics but provides spontaneous SUSY breaking and uplifting

# Conclusions

- For the volume modulus, parameter  $\eta$  necessarily smaller than  $-2/3$  and inflation with TeV-range gravitino mass cannot be realized unless corrections to the leading Kähler potential are included.
- Even for corrected Kähler potential inflation cannot be realized in KL model with only two non-perturbative terms in the superpotential.
- Adding third non-perturbative term to the superpotential makes inflation possible but significant amount of fine-tuning is necessary.
- Positive exponents in non-perturbative terms help in realizing inflection point inflation with light gravitino. Double gaugino condensation or single one with threshold corrections are enough to realize successful models.

- Inflection point models with all exponents negative can be realized only with uplifting (heavy gravitino) and suffer from overshooting problem.
- To overcome overshooting problem in models with all exponents negative parameters has to be much more fine-tuned than in models with positive exponents where overshooting problem is absent.
- Fine-tuning in models with all exponents negative can be relaxed if SUSY is broken by the matter field with a mass comparable to the volume modulus (e.g. Polonyi model). In such case fine-tuning is not related to the height of the barrier and is comparable to the fine-tuning in models with positive exponents.